

| _ UTILITY PATENT  | Attorney Docket No.                            | 042278   | Total Pages                    |           |
|---|--|--|--------------------------------|-----------|
| APPLICATION TRANSMITTAL   | First Named Inventor or Application Identifier |  | O =                            |           |
| (Only for new nonprovisional applications under 37 CFR 1.53(b))   | Tetsuya IKUTA, Naoki AWAJI and Mitsuaki HORI   |  |                                | 10/809808 |
| eck Box, if applicable [ ] Duplicate  | Express Mail Label No.                         |  |                                | 22141     |
| APPLICATION ELEMENTS FOR:  SEMICONDUCTOR DEVICE AND  MANUFACTURING METHOD OF THE SAME   |  | ADDRESS TO:<br>Commissioner fo<br>MAIL STOP PA<br>P. O. Box 1450<br>Alexandria, VA | or Patents<br>TENT APPLICATION |           |
| 1. [XX] Fee Transmittal Form (Incorporated within the   | nis form) (The filing fee is d                 | leferred)  |                                |           |
| 2. [XX] Specification Total Pag   | es [37]  |  |                                |           |
| 3. [XX] Drawing(s) (35 USC 113) Total S   | heets [20]                                     |  |                                |           |
| 4. [ ] Oath or Declaration Total P  NOT attached; deferred under P.L. 97-247  | ages []  |  |                                |           |
| a. [ ] Newly executed (original)  |  |  |                                | 1         |
| b. [ ] Copy from prior application (37 CFR 1.6 (for continuation/divisional with Box 17 complet   |  |  |                                |           |
| i. [ ] Deletion of Inventor(s)  Signed statement attached deleting invento see 37 CFR 1.63(d)(2) and 1.33(b).   | r(s) named in prior application,               |  |                                |           |
| 5. [ ] Incorporation by reference (useable if box 4b is The entire disclosure of the prior application, from Box 4b, is considered as being part of the disclosure incorporated by reference therein. | which a copy of the oath or dec                |  |                                |           |
| 6. [ ] Microfiche Computer Program (Appendix)   |  | •  |                                |           |
| 7. [ ] Nucleotide and/or Amino Acid Sequence Subm   | uission (if applicable, all nece               | essary)  |                                |           |
| <ul><li>a. [ ] Computer Readable Copy</li><li>b. [ ] Paper Copy (identical to computer copy)</li><li>c. [ ] Statement Verifying identity of above copies</li></ul>                                    |  |  |                                |           |
| ACCOMPANYING APPLICATION PARTS  |  |  |                                |           |
| 8. [ ] Assignment Papers (cover sheet and document Please publish the assignee data with the  | *        | <u>Kawasaki, J</u>   | <u>apan</u>                    |           |
| 9. [ ] 37 CFR 3.73(b) Statement (when there is an as  | signee) [ ] Power of                           | Attornev   |                                |           |

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

10. [ ] English translation Document (if applicable)

| 0.4 | 4   | 4 | 7 | 0 |
|-----|-----|---|---|---|
| U4  | · Z | Z | • | л |

First Named Inventor or Application Identifier

Tetsuya IKUTA, Naoki AWAJI and Mitsuaki HORI

PAGE 2 OF 3

| 11. [XX] Information Disclosure Statement   | [XX] Copies of ID          | S Citations (PTO-1449     | w/ 2 ref.) |                 |
|---|----------------------------|---------------------------|------------|-----------------|
| 12. [ ] Preliminary Amendment   |                            |                           |            |                 |
| 13. [XX] Return Receipt Postcard (MPEP 503)   |                            |                           |            |                 |
| 14. [ ] Small Entity Status is claimed.   |                            |                           |            |                 |
| 15. [XX] Claim for Convention Priority  | [] Certified copy of P     | riority Document is encl  | losed.     |                 |
| <ul> <li>a. Priority of <u>Japanese</u> Application No. <u>2003-</u></li> <li>b. Priority of <u>Japanese</u> Application No. <u>2004-</u></li> <li>c. Priority of <u>Japanese</u> Application No. <u>2004-</u></li> </ul> | 303270 filed on August 27, | 2003 is claimed under 35  | USC 119.   |                 |
| 16. [ ] Other   |                            |                           |            |                 |
| 17. If a CONTINUING APPLICATION, check  | appropriate box and supp   | oly the requisite informa | tion:      |                 |
| []Continuation []Division []Continu   | ation-in-part (CIP) of pr  | ior application no        |            |                 |
| FEE TRANSMITTAL   | Number Filed               | Number Extra              | Rate       | Basic -         |
| The filing fee is calculated below  |                            |                           |            | Fee<br>\$770.00 |
| Total Claims  | 20- 20                     |                           | x \$18.00  |                 |
| Independent Claims  | 4 - 3                      | 1                         | x \$86.00  | \$86.00         |
| Multiple Dependent Claims \$290.00  |                            |                           | \$290.00   |                 |
| Total Basic Filing Fee  |                            |                           |            | \$856.00        |
| Reduction by 1/2 for small entity   |                            |                           |            |                 |
| Fee for recording Assignment  |                            | Ļ                         | \$40.00    | \$40.00         |
| TOTAL   |                            |                           |            |                 |

## UTILITY PATENT APPLICATION TRANSMITTAL

| Attorney Docket No.                   | 042278    |  |
|---------------------------------------|-----------|--|
| First Named Inventor or Application I | dentifier |  |

| (Only for new nonprovisional applications under 37 CFR 1.53(b))   | Tetsuya IKUTA, Naoki AWAJI and Mitsuaki HORI  |  |  |
|---|---|--|--|
|   | PAGE 3 OF 3   |  |  |
| [ ] A check in the amount of is enclosed to co  | over the filing fee of and the assignment recordation fee of .                        |  |  |
| [ ] Please charge our Deposit Account No. 50-2866 in the total amount of to cover the filing fee and the assignment recordation fee. A duplicate of this sheet is attached. |   |  |  |
| [ ] The Commissioner is hereby authorized to char<br>CFR 1.16 or credit any overpayment to Depo   | rge payment for any additional filing fees required under 37 osit Account no. 50-2866 |  |  |
| 18. CORRESPONDENCE ADDRESS:  WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP  Customer No. 38834  |   |  |  |
|   |   |  |  |
| SUBMITTED BY  |   |  |  |
| Typed or Printed Name Stephen G. Adrian   | Reg. No. 32,878   |  |  |
| Signature   | Date: March 26, 2004  |  |  |

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP 1250 Connecticut Avenue, N.W., Suite 700 Washington, D.C. 20036 Tel: (202) 822-1100 Fax: (202)822-1111